

Aromatic Nucleophilic Substitution. 9.¹ Kinetics of the Formation and Decomposition of Anionic σ Complexes in the Smiles Rearrangements of *N*-Acetyl- β -aminoethyl 2-X-4-Nitro-1-phenyl or *N*-Acetyl- β -aminoethyl 5-Nitro-2-pyridyl Ethers in Aqueous Dimethyl Sulfoxide

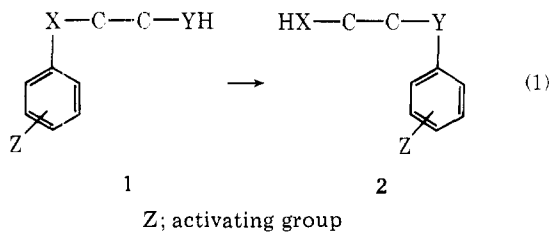
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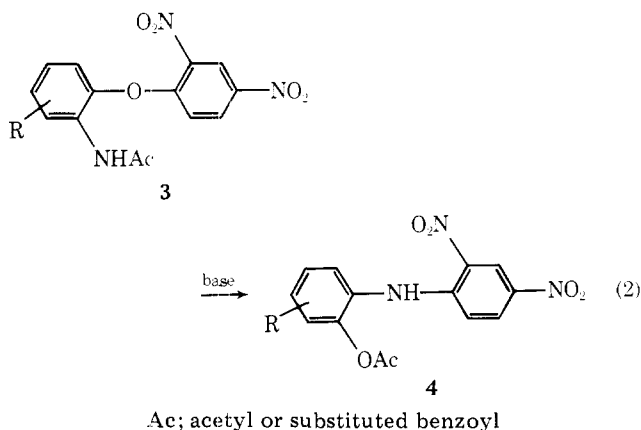
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The kinetics of the base-catalyzed Smiles rearrangements of *N*-acetyl- β -aminoethyl 2-X-4-nitro-1-phenyl [X: NO₂ (5), Br (8), CN (9)] or *N*-acetyl- β -aminoethyl 4-nitro-2-pyridyl ether (10) in Me₂SO-H₂O have been studied. For all the substrates studied the anionic σ complexes were spectrophotometrically confirmed to intervene during the rearrangement process, and the rates of rearrangement were found to depend only on the decomposition process of the anionic σ complexes (independent of their formation process). The rate of rearrangement decreases in the order of 10 > 8 > 9 > 5, and the rate of formation of the anionic σ complex decreases in the order of 5, 9 > 10 > 8.

Since the Smiles rearrangement was found by Henriques,² it has been developed by many workers, especially by Smiles.³ The rearrangement is indicated as follows:



Although the field has been recently reviewed,⁴ there have been few studies on the detailed kinetics of rearrangements because of their mechanistic complexity.^{5,6} McClement and Smiles⁷ found that the base-catalyzed rearrangement of 2-hydroxy-2'-nitrodiphenyl sulfones to 2-sulfinyl-2'-nitrodiphenyl ethers is strongly accelerated by a 6-methyl group, which was interpreted to be attributable to its electronic effect, but Bunnett and Okamoto⁶ reported that the rate of rearrangement of a 2-hydroxy-2'-nitrodiphenyl sulfone to a 2-(*o*-nitrophenoxy)benzenesulfonic acid is increased about 500 000-fold by the introduction of a methyl, chloro, or bromo substituent in the 6 position and that the origin of acceleration is not electronic but steric. Roberts and deWorms⁸ carried out the Smiles rearrangement of 2-acylamidodiphenyl ethers **3** to 2-acyloxydiphenylamines **4** and concluded that the rate of rearrangement decreases with an increasing electron-attracting effect of the substituent in the phenyl or benzoyl group owing to the reduction in availability of the unshared electrons of an amido nitrogen (eq 2). Bernasconi et al.⁹ have recently reported the kinetics of the base-catalyzed formation



of the anionic σ complex from *N*-(β -hydroxy)ethyl-*N*-methyl-2,4-dinitroaniline and discussed the unusual (reverse) Smiles rearrangement.

We have more recently carried out the base-catalyzed Smiles rearrangement of *N*-acetyl- β -aminoethyl 2,4-dinitrophenyl ether (**5**) to *N*-(β -acetyloxy)ethyl-2,4-dinitroaniline (**7**) in Me₂SO, where the Janovsky complex **6** was spectrophotometrically confirmed to intervene. The results suggested that the rearrangement takes place in two distinct stages and the kinetics in each stage could be spectrophotometrically followed.¹⁰ Skarzewski and Skrowaczewska have recently reported the products in the reaction of various β -(*N*-acylamino)ethoxides or β -(amino)ethoxides with 2,4-dinitrofluorobenzene, which had resulted from an intramolecular Smiles rearrangement with the simultaneous migration of an acyl group from nitrogen to oxygen¹¹ as we already found in a similar phenomenon.¹⁰ They could not, however, evidence the intervention of anionic σ complexes.

This paper reports the kinetics of the formation and decomposition of the anionic σ complexes in the base-catalyzed Smiles rearrangement of *N*-acetyl- β -aminoethyl nitrophenyl or nitropyridyl ethers and the effect of substituents in the 2 position of the phenyl group on the rate of rearrangement. On the basis of the kinetics, the decomposition of anionic σ complexes to products has been found to be rate determining in the rearrangement, which is interestingly independent of the formation of complexes and greatly contrasted with the results of the previous work.⁶⁻⁸

Results

Anionic σ Complexes in Base-Catalyzed Smiles Rearrangements of *N*-Acetyl- β -aminoethyl 2,4-Dinitro-1-phenyl (5**), 2-Bromo-4-nitro-1-phenyl (**8**), 2-Cyano-4-nitro-1-phenyl (**9**), and 5-Nitro-2-pyridyl (**10**) Ethers in Me₂SO.** The anionic σ complex [λ_{\max} 347 (ϵ 14 300), 359 (ϵ 13 800), and 506 nm (ϵ 28 000)] formed in the tertiary-butanolic KOC(CH₃)₃-catalyzed rearrangement of **5** to **7** in Me₂SO was already described in the previous paper.¹⁰ Figure 1 shows the spectral change when 50 equiv of tertiary-butanolic KOC(CH₃)₃ is added to a Me₂SO solution of **8** (1.93×10^{-5} M). Curve d coincided in position and shape with the spectrum of **12** [λ_{\max} 481 nm (ϵ 34 700)] obtained when excess tertiary-butanolic KOC(CH₃)₃ was added to a Me₂SO solution of *N*-(β -acetyloxy)ethyl-2-bromo-4-nitroaniline (**13**) under the same condition. Curve b can be attributed to the anionic σ complex **11**, because the stopped-flow method gave the same absorption spectrum as curve b when KOH was added to a Me₂SO-H₂O (96:4, v/v) solution of **8** (KOH 0.40×10^{-2} M; **8**

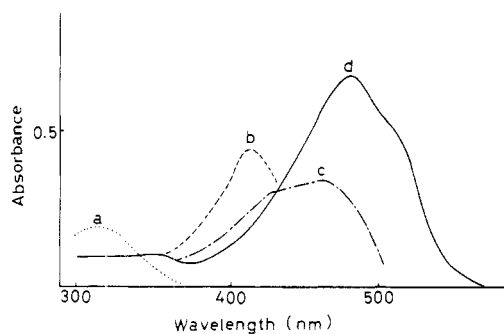
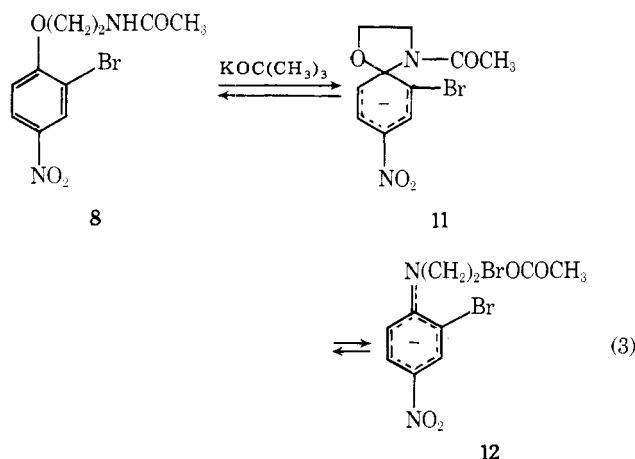
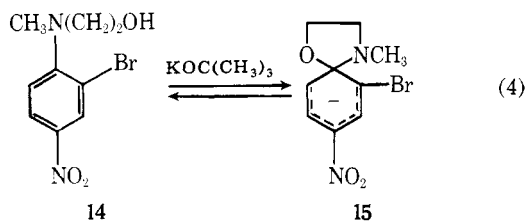


Figure 1. Absorption spectra relevant to the reaction of **8** with tertiary-butanol $\text{KOC}(\text{CH}_3)_3$ in Me_2SO at 25°C : (a) **8** ($1.93 \times 10^{-5}\text{ M}$); b, c, and d monitored to the shorter wavelength region at 430, 500, and 600 nm, respectively, immediately after addition of 50 equiv of tertiary butanol $\text{KOC}(\text{CH}_3)_3$ (chart speed 100 nm/1.67 min).

$2.40 \times 10^{-5}\text{ M}$) at the ionic strength (μ) of 0.1 (KClO_4) at 25°C (Figure 2¹² and about the saponification of **12** refer to Fig. 16). Furthermore, the absorption spectrum of the anionic σ



complex **15**, which is formed on addition of 50 equiv of tertiary-butanol $\text{KOC}(\text{CH}_3)_3$ to a Me_2SO solution of **14** and very



stable,^{9,10} is similar in position and shape (λ_{max} 397 nm) to curve b. Hosoya et al.¹³ already reported that the shape of absorption spectra of such complexes as **11** or **15** is little affected by the group attached to an amino nitrogen. These results, therefore, clearly indicate that curve b in Figure 1 is characteristic of the complex **11**.

The absorption spectra of the reaction of **9** ($2.47 \times 10^{-5}\text{ M}$) with 50 equiv of tertiary-butanol $\text{KOC}(\text{CH}_3)_3$ in Me_2SO are shown in Figure 3, where curve b is attributed to the anionic σ complex **16** [λ_{max} 412 and 420 (sh) nm]^{9,10,13} and curve d to **17** [λ_{max} 475 nm (ϵ 30 600)]. These assignments were confirmed in a similar manner as with **8** (eq 4 and 6).

The reaction of **10** ($2.68 \times 10^{-5}\text{ M}$) with 50 equiv of tertiary-butanol $\text{KOC}(\text{CH}_3)_3$ in Me_2SO gave only the spectrum of **22** [λ_{max} 461 nm (ϵ 28 400)] even at the faster chart speed, which is the same as that [λ_{max} 462 nm (ϵ 27 100)] obtained in the reaction of 2-[*N*-(β -acetoxy)ethyl]amino-5-nitropyridine (**23**) with 50 equiv of tertiary-butanol $\text{KOC}(\text{CH}_3)_3$ in Me_2SO . However, the stopped-flow method gave a similar spectrum

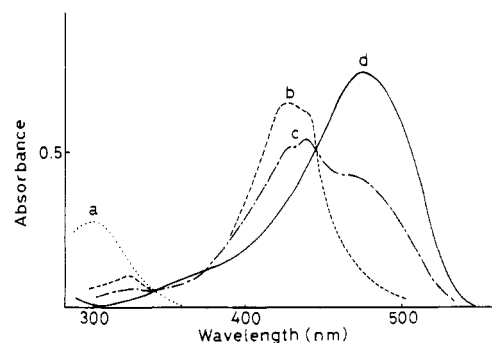
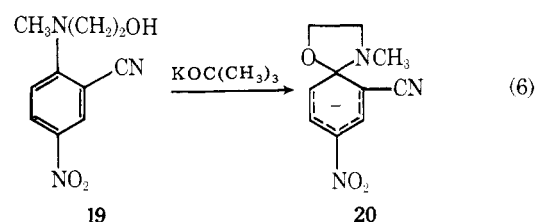
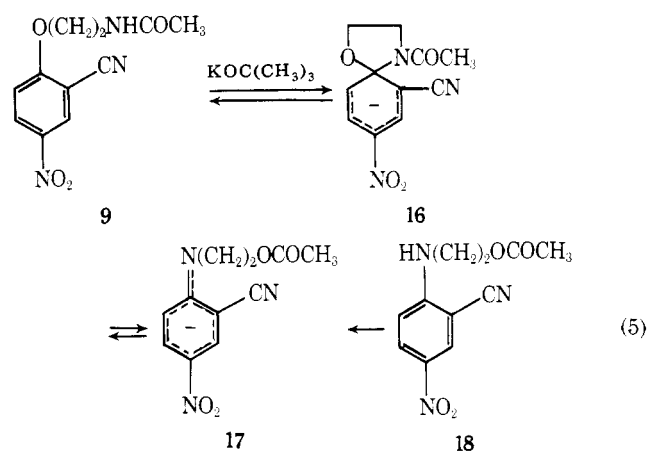
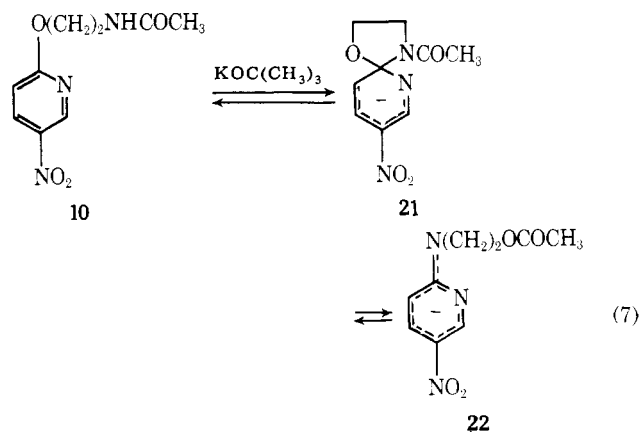


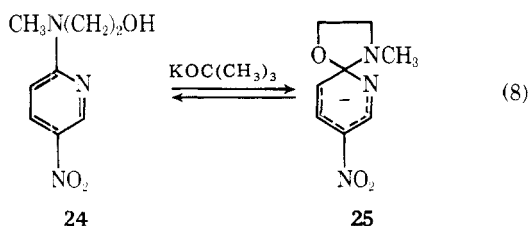
Figure 3. Absorption spectra relevant to the reaction of **9** with $\text{KOC}(\text{CH}_3)_3$ in Me_2SO at 25°C : (a) **9** ($2.47 \times 10^{-5}\text{ M}$); b and c monitored to the shorter wavelength region at 500 and 600 nm, respectively, immediately after addition of 50 equiv of tertiary butanol $\text{KOC}(\text{CH}_3)_3$ (chart speed 100 nm/1.67 min); (d) 5 min after addition of 50 equiv of tertiary butanol $\text{KOC}(\text{CH}_3)_3$.



(λ_{max} 400 nm) to that [λ_{max} 406 nm (ϵ 20 900)] of the complex **25** formed in the reaction of 2-[*N*-(β -hydroxy)ethyl-*N*-



methyl]amino-5-nitropyridine (**24**) with 100 equiv of tertiary-butanol $\text{KOC}(\text{CH}_3)_3$ in Me_2SO under the same condition as with **10** (eq 8). These results indicate that **21** intervenes during the course of the reaction. From these results, we have found that the reaction of the substrate (5, 8–10) with



KOC(CH₃)₃ in Me₂SO occurs in two observable stages: formation and decomposition of the anionic σ complex, the latter corresponding to the rearrangement.

Our observations will be shown later to be consistent with the mechanism of Scheme I, where hydroxide ion is used as a base.

Rate Equations of Rearrangements. We rewrite Scheme I in a fashion more useful for quantitative discussions in Scheme II.

In Scheme II two rates are measurable (those of the formation and decomposition of **28**). Equations 10 and 13a pertain to proton abstraction equilibria which are rapidly established. Although **26**, an amide, functions as a weak acid,¹⁴ the process (eq 10) occurs to some extent in strongly basic media as shown in the work of Hine and Hine¹⁵ (in the case of **8** and **10**, [27]/[26] becomes 0.78 and 0.56, respectively, under the condition of [OH⁻] = 6 × 10⁻³ M, based on the data as will be shown later). K_1K_2 , therefore, is anticipated to be very large. K_5 can be reasonably assumed to be very small. Under the present condition, therefore, the equilibria (eq 10 and 11, and 13a) lie almost entirely on **28** and **30**, respectively.

As will be shown later, the earlier stages (eq 10 and 11) are much faster than the later ones (eq 12 and 13), and, therefore, the earlier ones can be dealt with as equilibria in treatment of the kinetics of the later ones.

If the possibility that the substrate may be split among

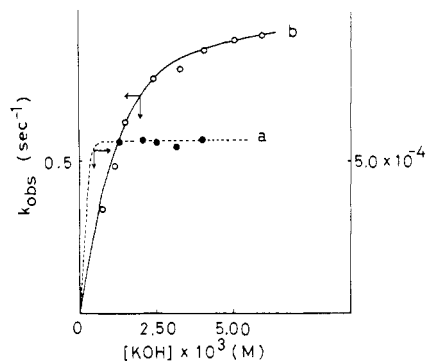
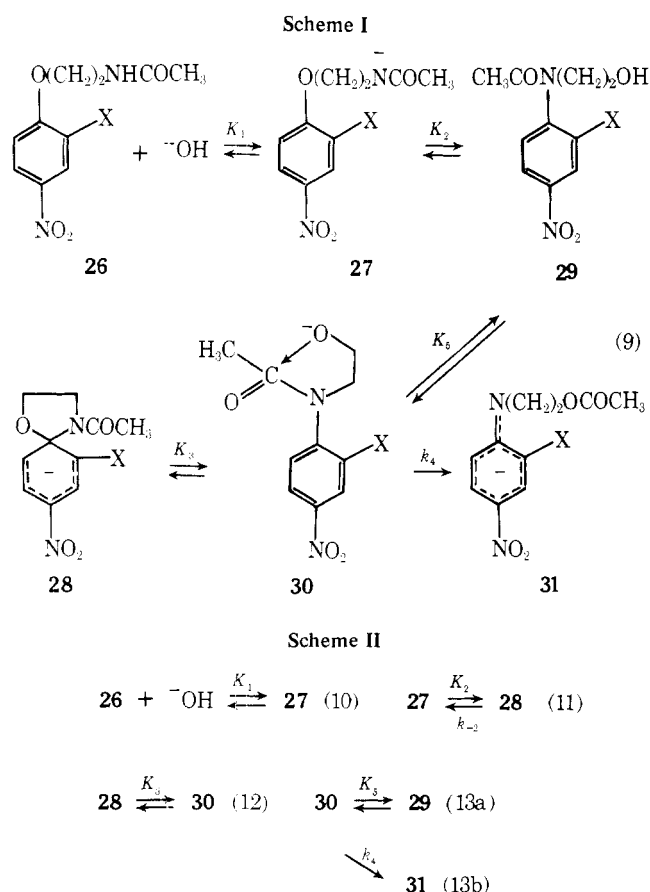


Figure 4. Relationship between k_{obsd} and [KOH] in the reactions of **5** (a, - - -) and **10** (b, —) with KOH in 96% Me₂SO at 25 °C: [5]₀ 3.0 × 10⁻⁵ M; [10]₀ 4.4 × 10⁻⁵ M; μ 0.1 (KClO₄).

27–30 is taken account of, for the rate of rearrangement the most general expression is as follows:

$$\begin{aligned}
 \text{rate} &= k_{\text{obsd}}[\text{26}]_{\text{st}} \\
 &= \frac{k_4 K_1 K_2 K_3 [-\text{OH}][\text{26}]_{\text{st}}}{1 + K_1 K_2 K_3 K_5 + (K_1 + K_1 K_2 + K_1 K_2 K_3)[- \text{OH}]} \quad (14)
 \end{aligned}$$

Rearranging eq 14, one can derive

$$\frac{1}{k_{\text{obsd}}} = \frac{1 + K_1 K_2 K_3 K_5}{k_4 K_1 K_2 K_3 [-\text{OH}]} + \frac{1 + K_2 + K_2 K_3}{k_4 K_2 K_3} \quad (15)$$

On the basis of plots of $1/k_{\text{obsd}}$ against $1/[-\text{OH}]$, one can obtain $k_4 K_1 K_2 K_3 / (1 + K_1 K_2 K_3 K_5)$ and $k_4 K_2 K_3 / (1 + K_2 + K_2 K_3)$ from slopes and intercepts. In the special case in which $(K_1 + K_1 K_2 + K_1 K_2 K_3)[- \text{OH}] \gg 1 + K_1 K_2 K_3 K_5$, eq 14 simplifies to eq 16.

$$k_{\text{obsd}} = \frac{k_4 K_2 K_3}{1 + K_2 + K_2 K_3} \quad (16)$$

Equation 16, therefore, indicates that the rate of rearrangement is zero-order in [OH⁻] under the above-described condition (Figure 4a). In the cases in which this condition is not fulfilled (Figure 4b), the curvilinear dependence of k_{obsd} on [OH⁻] will be found and consequently, k_{obsd} can be evaluated by the extrapolation of $1/[-\text{OH}]$ to the intercept in the linear plot of $1/k_{\text{obsd}}$ against $1/[-\text{OH}]$ (eq 15) (Figure 5¹²).

In all runs, the base (KOH) was in a large excess over the substrate concentration, which assures pseudo-first-order kinetics throughout.¹⁶ Our data are summarized in Table I with activation parameters. In the case of **8** and **10** was found the curvilinear dependence of k_{obsd} on [OH⁻], and, consequently, the rate constants were obtained by use of inversion plots and found to be 3.58 × 10⁻² and 1.10 s⁻¹ for **8** and **10**, respectively (Figure 5¹²). Table I shows that the relative rate of rearrangement at 25 °C is 1, 62, 83, and 1900 for **5**, **8**, **9**, and **10**, respectively, and that it increases with decreasing electron-attracting effect of an ortho substituent, except for **10**. The order is reversed in the base-catalyzed rearrangement of 2-hydroxy-5-methyl-(2'-R-4'-nitro)diphenyl sulfone [R; NO₂ (very rapid) > C₆H₅CO > CO₂Na > H (very slow)] carried out by Galbraith and Smiles.¹⁷ They concluded that their results were due to the easy formation of an anionic σ complex or a transition state by the electron-attracting effect of a 2'-R-group, even though it was not clear by way of which state the rearrangement proceeded. The result for **10** can be considered to be due to the absence of steric hindrance.

Thus, in our case it has been made clear that the rate of rearrangement increases, as an ortho substituent is less electron-attracting and less bulkier, which is different from the results of Bunnett and Okamoto,⁶ too.

Rate Equations of Anionic σ Complex Formation (eq 10 and 11). In order to clarify whether the origin of the change

Table I. Kinetic Data Relevant to the Rearrangement

Substrate	Temp, °C	10 ³ [KOH], M	<i>k</i> _{obsd} , s ⁻¹	Rel rate	Δ <i>H</i> [‡] , kcal·mol ⁻¹	Δ <i>S</i> [‡] , e.u.		
5 ^b	20	6.0	3.28 × 10 ⁻⁴ <i>f</i>	1	16.6	-18.1		
		1.2	5.84 × 10 ⁻⁴					
		2.0	5.71 × 10 ⁻⁴					
		2.4	5.76 × 10 ⁻⁴					
	3.2	5.60 × 10 ⁻⁴						
	4.0	5.94 × 10 ⁻⁴						
	30	6.0	8.43 × 10 ⁻⁴ <i>f</i>					
40	6.0	2.16 × 10 ⁻³ <i>f</i>						
8 ^c	20	1.6	1.73 × 10 ⁻²	62	16.0	-11.5		
		2.4	1.83 × 10 ⁻²					
		3.2	1.87 × 10 ⁻²					
		4.0	1.96 × 10 ⁻²					
		5.0	1.96 × 10 ⁻²					
		6.0	2.00 × 10 ⁻²					
		25	1.6				2.60 × 10 ⁻²	
	2.4	2.98 × 10 ⁻²						
	3.2	3.00 × 10 ⁻²						
	4.0	3.06 × 10 ⁻²						
	5.0	3.24 × 10 ⁻²						
	6.0	3.27 × 10 ⁻²						
	35	1.6	6.17 × 10 ⁻²					
	2.4	6.76 × 10 ⁻²						
3.2	6.90 × 10 ⁻²							
4.0	7.30 × 10 ⁻²							
5.0	7.46 × 10 ⁻²							
6.0	8.06 × 10 ⁻²							
9 ^d	20	6.0	2.82 × 10 ⁻² <i>f</i>	83	15.4	-13.1		
		25	0.8				4.68 × 10 ⁻²	
		1.6	4.83 × 10 ⁻²					
		2.0	4.71 × 10 ⁻²					
		2.8	4.93 × 10 ⁻²					
		3.6	4.73 × 10 ⁻²					
	4.0	4.72 × 10 ⁻²						
	30	6.0	6.62 × 10 ⁻² <i>f</i>					
	40	6.0	1.64 × 10 ⁻¹ <i>f</i>					
10 ^e	25	1.6	6.25 × 10 ⁻¹	1900				
		2.4	7.69 × 10 ⁻¹					
		3.2	7.94 × 10 ⁻¹					
		4.0	8.55 × 10 ⁻¹					
		5.0	8.93 × 10 ⁻¹					
		6.0	9.09 × 10 ⁻¹					
	35	1.6	1.27				16.6	-1.2
		2.4	1.60					
		3.2	1.75					
		4.0	2.06					
		5.0	2.05					
	45	6.0	2.07					
		1.6	2.50					
		2.4	3.40					
		3.2	3.82					
4.0		4.24						
5.0		4.27						
6.0	4.60							

^a Base KOH; μ 0.1 (KClO₄); solvent 96% Me₂SO (v/v). ^b [5]₀ 3.0 × 10⁻⁵ M. ^c [8]₀ 2.3 × 10⁻⁵ M. ^d [9]₀ 2.6 × 10⁻⁵ M. ^e [10]₀ 4.4 × 10⁻⁵ M; measured by means of a stopped-flow method. The accuracy of *k*_{obsd} is within ±0.25-. ^f All *k*_{obsd} are an average of at least triplicate measurements.

in the rate constant is electronic or steric, the kinetics of formation of anionic σ complexes were carried out under similar conditions to those in the measurements of the rates of rearrangement.

In the case of 5 and 9 the rates of formation of the anionic σ complexes were too fast to be followed by the stopped-flow spectrophotometric method, while in the case of 8 and 10 the

stability of formed complexes was moderate enough for rates to be measured. From eq 10 and 11, the pseudo-first-order rate constant for the attainment of equilibrium is the sum of the first-order rate constants for the forward and reverse reactions. As a general rate expression, one can derive eq 17,

$$k_{\psi} = k_{-2} + \frac{k_2 K_1 [-\text{OH}]}{1 + K_1 [-\text{OH}]} \quad (17)$$

where *k*₂ and *k*₋₂ are the rate constants for the forward and reverse reactions of eq 11, respectively. Therefore, the plot of *k*_ψ against [-OH] would give a curvilinear dependence unless *K*₁[-OH] ≫ 1. This is the case with 8 and 10, where *k*₋₂ could be obtained from the extrapolation of [-OH] to the intercept in the above-described plot (Figure 6¹²). Once *k*₋₂ is obtained, eq 18 could be easily derived. Therefore, from the slope and intercept in the plot of 1/(*k*_ψ - *k*₋₂) against 1/[-OH] (eq 18), *k*₂ and *K*₁ could be evaluated (Figure 7¹²). Relevant data are summarized in Table II. *K*₁*s* in Table II is considered to be reasonable from a consideration of the work of Hine and Hine.^{14,15}

$$\frac{1}{k_{\psi} - k_{-2}} = \frac{1}{k_2} + \frac{1}{k_2 K_1 [-\text{OH}]} \quad (18)$$

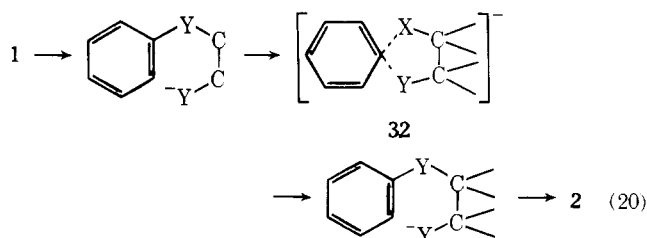
Discussion

Formation of Anionic σ Complexes. The difference between *k*₂*s* in Table II may result from the electron-attracting and stereoelectronic characters of pyridyl nitrogen. The values of *K*₁ are considered to be reasonable on the basis of the fact that a 2-Br group is a little more electron attracting than a pyridyl nitrogen from a consideration of the p*K*_a*s* of 2-bromophenol¹⁸ and 2-hydroxypyridine.¹⁹ Although in the case of 5 and 9 *k*₂ and *K*₁ could not be obtained, *K*₁ and *K*₂ can be expected to be at least larger than 131 and 11, respectively, because the rates of formation of anionic σ complexes are too fast to be followed by a stopped-flow spectrophotometric method.

Rates of Rearrangement. As in both cases (5 and 9), *K*₂ is considered to be much larger than 1; therefore, one can approximate eq 16 as follows:

$$k_{\text{obsd}} = \frac{k_4 K_3}{1 + K_3} \quad (19)$$

Even in the case of 8 and 10, *K*₂*s* are evaluated to be much larger than 1 as described in the preceding section, and, therefore, eq 19 still holds. Equation 19 indicates that the rate of rearrangement depends only on the decomposition of an anionic σ complex, independent of its formation. This result is very interesting, because all previous work put emphasis on the formation of the transition states 32 and 33.^{3,6,17} Several pathways are possible for the conversion of 1 to 2; the nucleophilic function, YH, may be ionized with substitution proceeding via the transition state 32 (eq 20). On the other



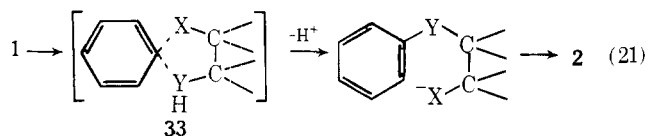
hand, prior ionization is not always required, and the rearrangement may proceed in a concerted fashion through the transition state 33 (eq 21). In certain systems the rearrangement proceeds through such a stabilized intermediate as 28.^{4a} If the rate of rearrangement, however, depends only on the decomposition process of a stabilized intermediate (anionic

Table II. Kinetic Data Relevant to the Formation of Anionic σ Complexes^a

	k_2 , s ⁻¹	k_{-2} , s ⁻¹	K_1 , M	K_2	10 ³ [KOH], M	k_{Ψ}
8	1.18×10^2	1.10×10	1.31×10^2	1.07×10	0.8	$(2.19 \pm 0.10) \times 10$
					1.2	$(2.76 \pm 0.10) \times 10$
					1.6	$(3.20 \pm 0.10) \times 10$
					2.4	4.03 ± 0.09
					3.2	$(4.54 \pm 0.16) \times 10$
					4.0	$(5.10 \pm 0.13) \times 10$
					5.0	$(5.69 \pm 0.15) \times 10$
10	5.99×10	1.20×10	9.33×10	4.60×10	0.8	$(5.44 \pm 0.31) \times 10$
					1.2	$(7.46 \pm 0.58) \times 10$
					1.6	$(8.96 \pm 0.35) \times 10$
					2.4	$(1.21 \pm 0.10) \times 10^2$
					3.2	$(1.57 \pm 0.09) \times 10^2$
					4.0	$(1.86 \pm 0.04) \times 10^2$
					5.0	$(2.00 \pm 0.03) \times 10^2$
6.0	$(2.12 \pm 0.01) \times 10^2$					

^a [8]₀ 2.4×10^{-5} M; [10]₀ 4.4×10^{-5} M; solvent 96% Me₂SO (v/v); base KOH; μ 0.1 (KClO₄); measurements at 416 (8) and 400 nm (10). k_{Ψ} represents average values of four or five determinations.

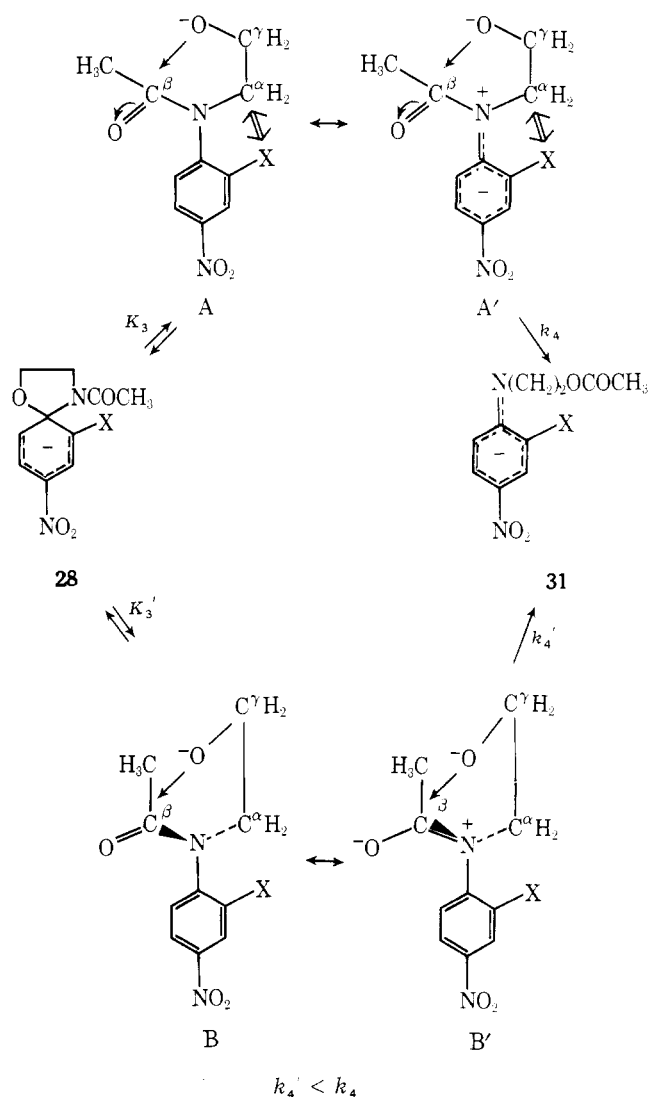
σ complex), the configuration of A or B would play an important role in the decomposition, because Table I indicates that the difference in rate constants would depend on the



entropy of activation rather than on the enthalpy of activation. The conspicuous feature that the entropy of activation for 10 is much larger than those for other substrates indicates that the steric factor is very important in the rearrangement.

These results are clearly explained below. In 28 the five-membered heterocycle is perpendicular to the aromatic ring in the preferred configuration. In the case of 10, the equilibrium (K_3) lies on configuration A, viz., K_3 is larger, in which the conjugation of the lone-pair electrons of the amino nitrogen with the pyridine ring is larger because of the coplanarity of the C ^{α} -N-C ^{β} group with the pyridine ring owing to the absence of the steric interference by an X group, and the free rotation of the N-C ^{α} and C ^{α} -C ^{γ} bonds is possible (Scheme III). Therefore, in the transition state (k_4 stage) the rotation of the five-membered heterocycle about the C₁-N bond, which is formed by the attack of the oxyanion upon the carbonyl carbon, is considerably free. Furthermore, in configuration A the attack of the oxyanion is concerted with the

Scheme III. Interpretative Scheme Indicating the Influence of an Ortho Substituent



polarization of carbonyl group because of the predominant resonance (A \leftrightarrow A').

On the contrary, in the case of 5, 9, and 8, the C ^{α} -N-C ^{β} group forms a certain angle with the benzene ring by the steric interference of an X group such that the hydrogen atom in the 6 position is put between the C ^{β} =O and C ^{β} -CH₃ bonds (configuration B); the lone-pair electrons of the amino nitrogen is conjugated with the aromatic ring to a lesser extent than in configuration A. With 5 the rotation of the N-C ^{α} bond is completely inhibited and the free rotation of the C ^{α} -C ^{γ} bond is not possible, while with 9 and 8 the former rotation is not completely inhibited and the latter rotation is possible. These circumstances are reflected in the difference among the entropies (Table I). Furthermore, the attack of oxyanion on the carbonyl carbon is not completely concerted with the polarization of the carbonyl group owing to the partial resonance (B \leftrightarrow B'), viz., $k_4' < k_4$.

In conclusion, it is considered that the difference in the rate constant in the rearrangement would result mainly from the steric interference of 2-X group.

Experimental Section

Capillary melting points are uncorrected. NMR spectra were recorded with a Varian A-60D spectrometer according to the previous procedure.²⁰ Elemental analyses were performed at the Microanalytical Center of Gunma University. UV and visible spectra were measured with a Hitachi-124 UV-vis spectrophotometer. Molecular extinction coefficients and absorption maxima were determined in

Me₂SO. The reaction rates were followed conventionally and with a Union RA-1200 rapid-reaction analyzer (Union Giken Co., Ltd.). Chromatographic columns and TLC plates were prepared with Wako Gel C-200 (silica gel) and B-10 (silica gel), respectively.

***N*-Acetyl- β -aminoethyl 2,4-Dinitro-1-phenyl Ether (5).** The ether 5 was prepared according to the previous procedure.¹⁰ The NMR and visible spectra of 5 and its spiro complex 6, corresponding in structure to 11, were described in the previous paper.¹⁰ The preparation of *N*-(β -acetyloxy)ethyl-2,4-dinitroaniline (7) and its anion corresponding in structure to 11 and their NMR and visible spectra were already described.¹⁰ The preparation of *N*-(β -hydroxy)ethyl-*N*-methyl-2,4-dinitroaniline and its spiro anionic σ complex corresponding in structure to 15 and 20, respectively, were reported by Bernasconi et al.⁹

***N*-Acetyl- β -aminoethyl 2-Bromo-4-nitro-1-phenyl Ether (8).** 4-Nitrofluorobenzene (NFB), which is a yellowish oil [bp 95–97 °C/22 mm (lit.²¹ 98–100 °C/18 mm)], was prepared according to the procedure of Olah et al.²¹ in 68% (25 g) yield by the reaction of 25 g (0.260 mol) of commercial fluorobenzene with the mixed acid of 16 g of HNO₃ (*d* 1.41) and 56 g of concentrated H₂SO₄ at –10 °C. 2-Bromo-4-nitrofluorobenzene (BNFB) was obtained in a 64% yield (10 g) by brominating NFB according to the general procedure of Derbyshire and Waters;²² white crystals, mp 57.5–58.5 °C (lit.²³ 58–59 °C). To a solution of 3.86 g (0.0375 mol) of *N*-acetyethanolamine (NAEA) in 100 mL of dioxane was added 0.975 g (0.025 g-atom) of potassium, and the mixture was refluxed until the potassium was completely dissolved. Upon cooling the mixture to room temperature, 5.0 g (0.023 mol) of BNFB was added and stirred for 30 min. Then, the mixture was poured onto ice water and extracted with chloroform. After the chloroform was distilled off, the residue was separated on a chromatographic column (silica gel, benzene–acetone 10:3, v/v) and recrystallized from benzene–ligroin: yield 29% (2.0 g); mp 124.5–125.5 °C; UV λ_{\max} 317 nm (ϵ 9.18 \times 10³).

Anal. Calcd for C₁₀H₁₁BrN₂O₄: C, 39.62; H, 3.66; N, 9.24. Found: C, 40.04; H, 3.73; N, 9.32.

***N*-Acetyl- β -aminoethyl 2-Cyano-4-nitro-1-phenyl Ether (9).** 2-Chloro-5-nitrobenzotrile was obtained in a 75% yield [25 g, yellow oil, bp 119–122 °C/0.6 mm (lit.²⁴ 119–122 °C/0.6 mm)] by the reaction of 25 g (0.181 mol) of *o*-chlorobenzotrile with 86 mL of fuming HNO₃ (*d* 1.5) according to the procedure of Wilshire.^{24a} 2-Chloro-5-nitrobenzotrile was also changed to 2-fluoro-5-nitrobenzotrile (FNBN) in a 78% yield according to the procedure of Wilshire.^{24a} 9 (pale-yellow crystals) was prepared from FNBN and NAEA in a 23% yield in a similar manner as with 6: mp 132–133.5 °C; UV λ_{\max} 303 nm (ϵ 1.14 \times 10⁴).

Anal. Calcd for C₁₁H₁₁N₃O₄: C, 53.01; H, 4.45; N, 16.86. Found: C, 52.88; H, 4.53; N, 16.88.

***N*-Acetyl- β -aminoethyl 5-Nitro-2-pyridyl Ether (10).** 2-Aminopyridine was changed to 5-nitro-2-aminopyridine by use of a mixed acid of HNO₃ and H₂SO₄ in about 70% yield, which was further diazotized and hydrolyzed to 5-nitro-2-hydroxypyridine. 5-Nitro-2-hydroxypyridine was chlorinated to 5-nitro-2-chloropyridine with PCl₅ and POCl₃ according to the method of Phillips.²⁵ The yield including diazotization, hydrolysis, and chlorination was 27%. 5-Nitro-2-chloropyridine was fluorinated to 5-nitro-2-fluoropyridine [bp 86–87 °C/7 mm (lit.²⁶ 86–87 °C/7 mm)] in a 81% yield according to the procedure of Finger and Starr,²⁶ except for the reaction temperature of 120 °C and the reaction time of 8 h. 10 (white crystals) was prepared in a 36% yield in a similar manner as with 6: mp 115–116 °C; UV λ_{\max} 303 nm (ϵ 1.02 \times 10⁴).

Anal. Calcd for C₉H₁₁N₃O₄: C, 48.00; H, 4.92; N, 18.66. Found: C, 48.14; H, 4.91; N, 18.61.

***N*-(β -Acetyloxy)ethyl-2-bromo-4-nitroaniline (13).** After 3.27 mL of 0.450 N tertiary-butanolic KOC(CH₃)₃ (0.00147 mol) had been added to a solution of 0.495 g (0.00147 mol) of 8 in 50 mL of Me₂SO, the mixture was stirred for 1 h at room temperature, poured into 100 mL of water, neutralized with hydrochloric acid (1 N), extracted with chloroform, and dried over anhydrous Na₂SO₄. After evaporation of the chloroform, recrystallization from ethanol gave 13 quantitatively (0.490 mg); mp 99.5–100.5 °C; UV λ_{\max} 386 nm (ϵ 1.81 \times 10⁴).

Anal. Calcd for C₁₀H₁₁BrN₂O₄: C, 39.62; H, 3.66; N, 9.24. Found: C, 39.95; H, 3.71; N, 9.28.

***N*-(β -Hydroxy)ethyl-*N*-methyl-2-bromo-4-nitroaniline (14).** After 1.5 g (0.0204 mol) of *N*-methylethanolamine (NMEA) had been added to a solution of 1.5 g (0.0076 mol) of BNFB in 20 mL of dioxane, the mixture was stirred at room temperature for 20 h, poured into 50 mL of water, neutralized with aqueous HCl (1 N), extracted with chloroform, and dried over anhydrous Na₂SO₄. Chromatographic separation (silica gel–benzene) followed by evaporation of the benzene gave 1.17 g of reddish light brown oil 14 (61%); UV λ_{\max} 390 nm (ϵ 4.64

\times 10³). Several attempts to induce recrystallization failed.

Anal. Calcd for C₉H₁₁BrN₂O₃: C, 39.29; H, 4.03; N, 10.18. Found: C, 38.80; H, 4.31; N, 9.69.

***N*-(β -Hydroxy)ethyl-*N*-methyl-2-cyano-4-nitroaniline (19).** After 3.08 g (0.041 mol) of NMEA had been added to a solution of 3.0 g (0.0164 mol) of 6-chloro-3-nitrobenzotrile (CNBN) in 50 mL of Me₂SO, the mixture was stirred for 10 h at room temperature, poured onto ice water, and extracted with chloroform, and recrystallization from ethanol gave 2.0 g (55%) of 19 (yellow crystals): mp 107–109 °C; UV λ_{\max} 388 nm (ϵ 1.76 \times 10⁴).

Anal. Calcd for C₁₀H₁₁N₃O₃: C, 54.29; H, 5.01; N, 19.00. Found: C, 54.12; H, 5.12; N, 19.28.

***N*-(β -Acetyloxy)ethyl-2-cyano-4-nitroaniline (18).** After 2.5 g (0.0409 mol) of ethanolamine had been added to a solution of 3 g (0.0164 mol) of CNBN in 50 mL of Me₂SO, the mixture was stirred for 3 h at room temperature, poured into water, and neutralized with aqueous HCl (1 N). The raw product [*N*-(β -hydroxy)ethyl-2-cyano-4-nitroaniline, 2.0 g] was submitted to the following procedure without further purification. After 1.8 g (0.0229 mol) of acetyl chloride was added dropwise to a solution of 1.6 g of *N*-(β -hydroxy)ethyl-2-cyano-4-nitroaniline at room temperature, the mixture was stirred for 30 min at 60 °C, cooled, and poured onto ice water. The formed crude crystals were extracted with benzene, dried over anhydrous Na₂SO₄, and separated through a column (silica gel–benzene). Evaporation of the benzene and recrystallization from ethanol gave 1 g (51%) of 18 (brownish light-yellow crystals): mp 125–126 °C; UV λ_{\max} 372 nm (ϵ 1.69 \times 10⁴).

Anal. Calcd for C₁₁H₁₁N₃O₄: C, 53.01; H, 4.45; N, 16.86. Found: C, 52.90; H, 4.56; N, 16.90.

2-[*N*-(β -acetyloxy)ethyl]amino-5-nitropyridine (23). 5-Nitro-2-fluoropyridine was prepared according to the method described in the literature.²⁶ After 12.7 mL (0.00546 mol) of 0.430 N tertiary-butanolic KOC(CH₃)₃ was added dropwise to a solution of 1.23 g (0.00547 mol) of 10 in 50 mL of Me₂SO, the mixture was stirred 1 h, poured onto ice water, neutralized with aqueous HCl (1 N), extracted with chloroform, and dried over anhydrous Na₂SO₄. Evaporation of the chloroform and recrystallization from ethanol gave yellow crystals of 23 quantitatively: mp 124–125.5 °C; UV λ_{\max} 369 nm (ϵ 1.77 \times 10⁴).

Anal. Calcd for C₉H₁₁N₃O₄: C, 48.00; H, 4.92; N, 18.66. Found: C, 48.10; H, 4.90; N, 18.57.

2-[*N*-(β -hydroxy)ethyl-*N*-methyl]amino-5-nitropyridine (24). After 1.18 g (0.0157 mol) of NMEA had been added to a solution of 1.0 g (0.00629 mol) of 5-nitro-2-chloropyridine, the mixture was stirred for 15 h at room temperature, poured onto ice water, extracted with chloroform, and dried over anhydrous Na₂SO₄. Evaporation of the chloroform and recrystallization from ethanol gave 0.80 g (65%) of 24 (yellow crystals): mp 88.5–90 °C; UV λ_{\max} 387 nm (ϵ 2.03 \times 10⁴).

Anal. Calcd for C₈H₁₁N₃O₃: C, 48.72; H, 5.62; N, 21.31. Found: C, 48.51; H, 5.38; N, 21.12.

Kinetic Data. Kinetic measurements for the rearrangement were made using a Hitachi-124 UV–vis spectrophotometer, except with 10. Rate constants were calculated by monitoring the decrease in absorbance at 506 nm (λ_{\max} of the spiro complex) with 5 or the increase in absorbance at 481, 475, and 462 nm with 8, 9, and 10, respectively, at which wavelengths the reactants were transparent. In any given solvent, in which the Me₂SO content is always 96%, the ionic strength was kept at 0.1 (KClO₄). Runs were set up so that KOH as a base was in large excess over the substrate.

Kinetic measurements for the rearrangement of 10 and the formation of the anionic σ complexes from 8 and 10 were made by means of a Union RA-1200 rapid-reaction analyzer.

Preparation of Anionic σ Complexes for NMR Measurements. A certain amount of a sample (ca. 10^{–4}–10^{–5} mol) was dissolved in a small amount of Me₂SO (ca. 0.25 mL) in a NMR tube. After 1.0 or 1.5 equiv of tertiary-butanolic KOC(CH₃)₃ (ca. 0.4 N) had been added in the solution through a microsyringe and shaken vigorously, the mixture was submitted to measurement. The NMR data are summarized in Table III.¹²

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Registry No.–8, 63989-43-5; 9, 63989-50-4; 10, 63989-49-1; 13, 63989-48-0; 18, 63989-47-9; 23, 63989-46-8; 14, 63989-45-7; 19,

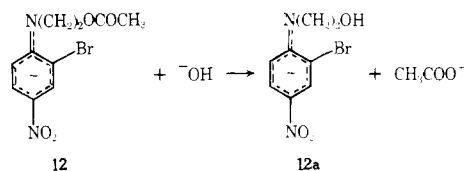
63989-44-6; **24**, 25948-15-6; **20**, 63988-97-6; **22**, 63988-99-8; **5**, 55759-61-0; **11**, 64011-18-3; **1**, 63989-41-3; **16**, 63988-98-7; **17**, 63989-42-4; NFB, 350-46-9; BNFB, 701-45-1; NAEA, 142-26-7; FNBN, 17417-09-3; 5-nitro-2-fluoropyridine, 456-24-6; NMEA, 109-83-1; CNBN, 16588-02-6; *N*-(β -hydroxyethyl)-2-cyano-4-nitroaniline, 63989-40-2; acetyl chloride, 75-36-5; 5-nitro-2-chloropyridine, 4548-45-2.

Supplementary Material Available. Table III and Figures 2, 5, 6, and 7 (6 pages). Ordering information is given on any current masthead page.

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Crown-Cation Complex Effects. 8. Reactions of Crown Ether Activated *tert*-Butoxide Ion

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The effect of catalytic amounts of 18-crown-6 on tetrahydrofuran, *tert*-butyl alcohol, and benzene solutions of potassium *tert*-butoxide has been investigated. In each solvent, the enhanced nucleophilicity of *tert*-butoxide ion was manifested in its reaction with benzyl chloride; i.e., good yields of benzyl *tert*-butyl ether were obtained. In the latter solvent, 18-crown-6 served as phase-transfer agent as well as activator. *tert*-Butoxide ion was found to be most effective as a nucleophile in tetrahydrofuran solution, and, in general, the results of exemplary reactions indicated that nucleophilicity was enhanced more than basicity. Crown-activated *tert*-butoxide, for example, converts isatoic anhydride to *tert*-butyl anthranilate, benzaldehyde and diphenylmethane to benzhydryl phenyl ketone, and, in the presence of oxygen, fluorene directly to 2-carboxybiphenyl.

There has been interest for many years in solvent properties, particularly regarding their effect on the basicity and nucleophilicity of anionic reagents. The difference of 10^{11} in the rates of proton removal from carbon by alkoxide in methanol compared to dimethyl sulfoxide (Me_2SO) is an especially dramatic demonstration of such solvent effects.¹ Other studies conducted in the early 1960's demonstrated the value of *tert*-butoxide as a base, particularly in Me_2SO ,^{2,3} and it was at about this time that cation effects became clearly recognized.⁴ A great deal is now known about the *tert*-butoxide ion⁵ and, in general, about the chemistry of ion pairs.⁶

The ability of crown ethers to solvate cations has led to new studies of ion pairs both in the presence and absence of such ligands.⁷ In general, in the presence of crown ether, aggregates of ion pairs are broken up and the anionic portion of the ligand separated or dissociated ion pair exhibits enhanced reactivity. This enhanced reactivity has manifested itself in decarboxylation reactions,⁸ oxy-Cope rearrangements,⁹ and elimination reactions.¹⁰ We were particularly interested in the reactivity

of potassium *tert*-butoxide (**1**) in the presence of crown ethers.¹¹ We felt that in such solvents as Me_2SO the enhanced basicity can be attributed, at least in part, to solvent assistance in carbanion formation.¹² In the presence of crown in a solvent such as tetrahydrofuran where solvent assistance is limited, the reactivity enhancement should be more apparent in the nucleophilic sense than in the basic sense. We have examined several reactions of *tert*-butoxide ion and have indeed found an enhancement of the nucleophilic behavior of this hindered base.

Results and Discussion

The chemistry of potassium *tert*-butoxide has been thoroughly reviewed.⁵ This base has been utilized in a variety of media including *tert*-butyl alcohol, dimethylformamide, dimethyl sulfoxide, tetrahydrofuran, and benzene, although **1** is only sparingly soluble in the latter.¹³ Benzyl chloride (**2**) has been used in the past as a substrate for studying nucleophile/base balance in systems where the anion behaved more as a base than as a nucleophile.¹⁴ Utilization of this substrate